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UTILITY PATENT APPLICATION **TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b)

Attorney Docket No. 2000-1229

Total Pages:

First Named Inventor or Application Identifier

Takao IKARIYA et al.

Assistant Commissioner for Patents

Express Mail Label No.:

ADDRESS TO:

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

Fee Transmittal Form

(Submit an original, and a duplicate for fee processing)

2. [X] Specification

[Total Pages -118]

(preferred arrangement set forth below)

- Descriptive title of the Invention - Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- **Detailed Description**
- Claim(s)
- Abstract of the Disclosure

🕱. [] Drawing(s) *(35 USC 113)*

[Total sheets -] [Total Pages - 3]

- [A. [X] Oath or Declaration
- a.1. [] Newly executed (original or copy)
 - a.2. [] Unexecuted
 - b. [X] Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below]

i. [] DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

[X] Incorporation By Reference

(usable if Box 4b is checked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

Washington, D.C. 20231

Microfiche Computer Program (Appendix)

[]Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

Box Patent Application

- a. [] Computer Readable Copy
- b. [] Paper Copy (identical to computer copy)
- Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- Assignment Papers (cover sheet & document(s))
- 37 CFR 3.73(b) Statement [] Power of Attorney 9. () (when there is an assignee)
- English Translation Document (if applicable) 10. []
- 11. [X] Information Disclosure Statement (IDS)/PTO-1449 [] Copies of IDS Citations
- 12. [X] Preliminary Amendment
- [X] Return Receipt Postcard (MPEP 503) 13.

(Should be specifically itemized)

- 14. n Small Entity Statement(s)
 - Statement filed in prior application, Status still proper and desired
- 15. Certified Copy of Priority Document(s) [] (if foreign priority is claimed)
- 16. [] Other

THE COMMISSIONER IS AUTHORIZED TO CHARGE ANY DEFICENCY IN THE FME FOR THIS PAPER TO DEPOSIT 4000UNT NO. 23 0975.

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

- [] Continuation [X] Divisional
- [] Continuation-in-part (CIP) of prior Application No. 09/077,787

18. CORRESPONDENCE ADDRESS

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September 14, 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Serial No. NEW

Takao IKARIYA et al.

Attn: Application Branch

Filed September 14, 2000

Attorney Docket No. 2000-1229

METHOD FOR PRODUCING OPTICALLY

ACTIVE COMPOUNDS

(Rule 1.53(b) Divisional Application

of Serial No. 09/077,787, Filed September 29, 1998)

THE COMMISSIONER IS AUTHORIZED TO CHARGE ANY DEFICENCY IN THE FEE FOR THIS PAPER TO DEPOSIT

ACCOUNT NO. 23 0975.

PATENT OFFICE FEE TRANSMITTAL FORM

Assistant Commissioner for Patents Washington, DC 20231

Sir:

Attached hereto is a check in the amount of \$690.00 to cover Patent Office fees relating to filing the following attached papers:

Rule 53(b) application\$690.00

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.

Respectfully submitted,

Takao IKARIYA et al.

Registration No. 40,949 Attorney for Applicants

LC/gtn WENDEROTH, LIND & PONACK, L.L.P. 2033 K St., N.W., Suite 800 Washington, D.C. 20006 Telephone (202) 721-8200 September 14, 2000